



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Date: March 19, 2001

Cao

Batch No.: W54

Serial No.: 09/404,416

Group Art Unit: 2872

Filed: September 23, 1999

Examiner: Shafer, R.

For: REFLECTION-TYPE OPTICAL CIRCULATOR UTILIZING A LENS AND
BIREFRINGENT PLATES

Box ISSUE FEE

Assistant Commissioner for Patents

Washington, D.C. 20231

**NOTIFICATION OF LOSS OF ENTITLEMENT TO
SMALL ENTITY STATUS (37 CFR 1.28(b))**

Sir:

Applicant hereby notifies the Patent and Trademark Office that it is no longer entitled to status as a small entity, and that the claim for small entity status, set forth in the verified statement filed on September 23, 1999, is hereby withdrawn.

Respectfully submitted,

Michele Liu

Sawyer Law Group LLP

Attorney for Applicant(s)

Reg. No. 44,875

(650) 493-4540

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Box Issue Fee, Assistant Commissioner for Patents, Washington, D.C. 20231, on March 19, 2001.

Michele Liu

#7
CA
4/6/01



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TRANSMITTAL LETTER

Sir:

We received the Notice of Allowance and Issue Fee Due dated December 18, 2000. In response thereto we are enclosing:

- 1) Issue Fee Transmittal Form
- 2) Check in the amount of \$1255.00; for Issue Fee (\$1240.00) and Advance Copies (\$15.00)
- 3) Notification of Loss of Entitlement to Small Entity Status (37 CFR 1.28(b))
- 4) Transmittal Letter (original and two copies)
- 5) Postcard.

If any unresolved issues remain, please contact Applicant's attorney at the telephone number indicated below.

Respectfully submitted,

Michele Liu
Sawyer Law Group LLP
Attorney for Applicant(s)
Reg. No. 44,875
(650) 493-4540

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Michele Liu

PART B—ISSUE FEE TRANSMITTAL



Complete and mail this form, together with applicable fees, to:

 B x ISSUE FEE
 Assistant Commissioner for Patents
 Washington, D.C. 20231

 Avanex
 1449P
 ML

DEC 21 2000

MAILING INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE. Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Issue Fee Receipt, the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)

 SAWYER & ASSOCIATES
 PO BOX 51418
 PALO ALTO CA 94303

MM21/1218

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Certificate of Mailing

I hereby certify that this Issue Fee Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Box Issue Fee address above on the date indicated below.

Michele Liu

(Depositor's name)

(Signature)

March 19, 2001

(Date)

APPLICATION NO.	FILING DATE	TOTAL CLAIMS	EXAMINER AND GROUP ART UNIT	DATE MAILED
09/404,416	09/23/99	008	SHAHER, R	2872 12/18/00
First Named Applicant	CAO,	35 USC 154(b) term ext. =	0 Days.	

TITLE OF INVENTION REFLECTION-TYPE OPTICAL CIRCULATOR UTILIZING A LENS AND BIREFRINGENT PLATES

ATTY'S DOCKET NO.	CLASS-SUBCLASS	BATCH NO.	APPLN. TYPE	SMALL ENTITY	FEE DUE	DATE DUE
2 1449P	359-484.000	W54	UTILITY	YES	1240.00 \$620.00	03/19/01

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). Use of PTO form(s) and Customer Number are recommended, but not required.

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47) attached.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Sawyer Law Group LLP

2

3

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)
PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the PTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE Avanex Corporation

(B) RESIDENCE: (CITY & STATE OR COUNTRY) Fremont, California

Please check the appropriate assignee category indicated below (will not be printed on the patent)

☐ individual ☒ corporation or other private group entity ☐ government

4a. The following fees are enclosed (make check payable to Commissioner of Patents and Trademarks):

☒ Issue Fee 5
☒ Advance Order - # of Copies

4b. The following fees or deficiency in these fees should be charged to:

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The COMMISSIONER OF PATENTS AND TRADEMARKS IS requested to apply the Issue Fee to the application identified above.

(Authorized Signature)

(Date)

03-19-01

NOTE: The Issue Fee will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the Patent and Trademark Office.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending on the needs of the individual case. Any comments on the amount of time required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND FEES AND THIS FORM TO: Box Issue Fee, Assistant Commissioner for Patents, Washington D.C. 20231

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